

#14

NEBRASKA  
ACCOUNTABILITY AND  
DISCLOSURE COMMISSION  
11<sup>th</sup> Floor, State Capitol  
P.O. Box 95086  
Lincoln, NE 68509  
(402) 471-2522

# STATEMENT OF FINANCIAL INTERESTS

NADC FORM C-1

POSTMARK DATE	HD
MICROFILM NUMBER	8858171
OFFICE USE ONLY	
STATE OF NEBRASKA	
2013 MAR 26 AM 11:24	
Dist #14	

BEFORE COMPLETING  
READ FILING REQUIREMENTS

- Candidates for designated offices and holders of designated offices and positions must file this statement. See Sections 1A and 1B of the instructions.
- Candidates (including incumbents) subject to this filing requirement must file with the Commission and with the appropriate election official (See Instructions).
- Designated officeholders and holders of designated positions must file this statement with the Commission annually.
- Dollar values need not be report for any item, except Item 11.
- Persons who fails to file as required is subject to a civil penalty of up to \$2,000.

### ITEM 1 | YOUR NAME, ADDRESS AND PHONE NUMBER

Name	<u>Smith</u>	<u>Jim</u>	<u>I</u>	Telephone No.	<u>402-593-0237</u>
	LAST	FIRST	MIDDLE		
Address	<u>904 Bailey Drive</u>			<u>Papillion</u>	<u>NE 68046</u>
	STREET ADDRESS OR RURAL ROUTE			CITY	STATE ZIP CODE

### ITEM 2 | OCCASION FOR FILING (Check Appropriate Box)

- |  |  |
|--|--|
| <input type="checkbox"/> A candidate for elective office                             | <input type="checkbox"/> Left office or position               |
| <input checked="" type="checkbox"/> Annual officeholder's or state employee's report | <input type="checkbox"/> Newly appointed to office or position |

### ITEM 3 | OFFICE HELD & TERM OF OFFICE (Incumbent elected/appointed officials and state employees. See 1B of instructions)

List the office or position you currently hold which requires this filing. If you have left office, list the office you held.

Office or Position: Nebraska Legislature Term: Jan. 2011 - Dec. 2014

Name of City, County, District, or State Agency: District #14

### ITEM 4 | OFFICE SOUGHT (Candidates only. See 1A of instructions)

List the office sought which requires this filing.

Office: \_\_\_\_\_

Name of City, County, District, or State Office: \_\_\_\_\_

### ITEM 5 | PERIOD COVERED BY THIS STATEMENT

This statement must cover all financial interests for the entire "preceding calendar year" and not just as of year-end. If you have left office, this statement must cover all financial interests from the end of the calendar year for which you previously filed up to and including the date you left office.

- This statement covers the preceding calendar year January 1 through December 31, 2012
- Left office, this statement covers the period January 1, \_\_\_\_\_ to \_\_\_\_\_ (DATE YOU LEFT OFFICE OR POSITION)

**ITEM 6 | SOURCES OF INCOME OF OVER \$1,000**

Income includes money or any other form of recompense constituting income under the Internal Revenue Code. (See definitions)

Name and address of any source\* (including an individual, business, body of government, political subdivision or body corporate) from whom income of over \$1,000 was received.

List the nature of the source's business and the nature of the services you rendered or the circumstances under which income was received. NOTE: Do not list the amount of the income.

1.) Nebraska Legislature  
State Capitol  
Lincoln, NE 68509

1a.) Serves as a member of the Nebraska Unicameral

2.) Norm's Door Service Inc. DBA, Mechanical Access Systems  
8920 Washington Cir.  
Omaha, NE 68127

2a.) Co-owner with spouse of stock. Business provides repair, sales, and installation of overhead doors, loading dock equipment and security gates. Spouse receives salary as company president

3.) Blair-Smith Enterprises  
904 Bailey Dr.  
Papillion, NE 68046

3a.) Co-owner with spouse of stock. Real Estate investment business

4.) \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

4a.) \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

\*NOTE: IF INCOME RESULTED FROM EMPLOYMENT BY, OPERATION OF OR PARTICIPATION IN A PROPRIETORSHIP, PARTNERSHIP, CORPORATION OR OTHER PERSON, LIST THE SAME AS THE SOURCE OF INCOME, BUT NOT THE PATRONS, CUSTOMERS, PATIENTS, OR CLIENTS THEREOF.

**ITEM 7 | BUSINESSES WITH WHICH YOU ARE ASSOCIATED (See definitions)**

Name and address of all businesses, organizations, or associations (profit and non-profit) with which you held a position of officer, director, limited liability company member, partner, or stockholder and any entity in which you held a position of trustee. Such reporting is required based on the position held, not on whether income was received. You need not report business associations which are otherwise listed under Item 6.

Name and Address of Business or Organization

Nature of Association

1.) Make-A-Wish Foundation  
11926 Arbor St. Ste. 102  
Omaha, NE 68144

1a.) Member of Board of Directors

2.) Ralston School Foundation  
8545 Park Dr  
Ralston, NE 68127

2a.) Member of Board of Directors

3.) Offutt Advisory Council  
PO Box 13329  
Ralston, NE 68127

3a.) Member of Advisory Council

4.) Wings Over the Heartland  
11515 S. 39th St.  
Omaha, NE 68123

4a.) Member of Board of Directors

5.) \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

5a.) \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

6.) \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

6a.) \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

7.) \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

7a.) \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_**ITEM 8 | REAL PROPERTY OF THE FILER IN NEBRASKA (Real property valued at less than \$1,000 and your personal residence need not be reported.)**

List all real property in your name or in which you have a direct ownership interest. The description required must be sufficient to identify the location of the property. Exceptions: You need not report real estate owned by a business listed in Item 6 or 7, your personal residence or real property valued at less than \$1,000. Personal residence refers to your principal dwelling-house and adjacent land used for household purposes, such as lawns and gardens.

Location of Property (Description or Address)	Nature of Property (such as: agricultural, commercial, industrial, residential-rental)

**ITEM 9 OTHER FINANCIAL INTERESTS AND PROPERTY HELD DURING THE PERIOD OF THIS STATEMENT WHICH EXCEEDED A FAIR MARKET VALUE OF \$1,000 AT ANY TIME DURING THE REPORTING PERIOD**

(a) List the names and addresses of the institutions in which you had checking and savings accounts and certificates of deposit.

Financial Institution	Address
Wells Fargo Bank Na	4707 S. 96th St. Omaha, NE 68127
First National Bank	7855 S. 84th St. La Vista, NE 68046

(b) List the names of the issuers of all stocks, bonds, and government securities, not otherwise listed under Items 6 or 7.

Morgan Stanley Smith Barney, 13625 California St. #400, Omaha, NE 68154

(c) Describe other property owned or held for the production of income not otherwise disclosed in Items 6, 7, 8 or 9(a)(b). Include leaseholds and other interests in real estate, promissory notes and other obligations owed to you, beneficial interests in trusts and estates, cash value life insurance, IRAs, deferred income and retirement plans. Exception: Do not include accounts receivable, inventory, fixtures and equipment owned or used by a business listed in Items 6 & 7 or household goods, personal automobiles and other tangible personal property unless such property was held primarily for sale or exchange.

**ITEM 10 CREDITORS TO WHOM \$1,000 OR MORE WAS OWED OR GUARANTEED BY YOU OR A MEMBER OF YOUR IMMEDIATE FAMILY.**

Exception: Loans from a relative and land contracts which have been recorded with the County Clerk or Register of Deeds need not be reported. Accounts payable, debts arising out of retail installment transactions or loans made by a financial institution in the ordinary course of business need not be reported.

Name	Address

**ITEM 11 SOURCES OF GIFTS OF A VALUE OF MORE THAN \$100 RECEIVED EXCEPT GIFTS FROM RELATIVES. (See definitions)**

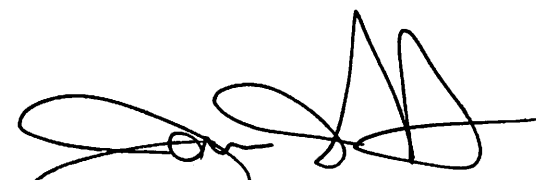
Name and address of Donor	Occupation or nature of business of Donor	Value of Gift (See Key Below)	Description of Gift and Circumstances or Occasion for Gift
Streamline Sales Tax Governing Board 4219 Hillsboro Pike Suite 234 Nashville, TN 37215	Sen. Smith was member of Streamline Sales Tax Governing Board (2011-2012). Meeting for member states 9/18/12 – 9/20/12	A	Hotel room for duration of meeting for member states 9/18/12 – 9/20/12
Streamline Sales Tax Governing Board 4219 Hillsboro Pike Suite 234 Nashville, TN 37215	Sen. Smith was member of Streamline Sales Tax Governing Board (2011-2012) Meeting for member states 9/18/12 – 9/20/13	B	Roundtrip airfare from Omaha to Salt Lake City for meeting of member states 9/18/12 – 9/20/12.
Steve Pella Black Hills Energy Corp. 1102 E. 1st St. Papillion, NE 68046	VP. for Corporate Affairs	B	Football tickets
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	

The monetary value of each gift shall be categorized based on the good faith estimate of the filer. For each reported gift insert in the Value column the letter which corresponds to the value category of the gift. The value categories are:

A) \$100.01 to \$200; B) \$200.01 to \$500; C) \$500.01 to \$1,000; D) \$1,000.01 or more.

**ITEM 12 SIGNATURE OF FILER AND DATE.**

I hereby state that I have used all reasonable diligence in the preparation of this Statement and that to the best of my knowledge it is true and complete.

 3/26/13

(Signature of Filer) (Date)